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# JOURNAL CLUB

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# Dexamethasone for Parapneumonic Pleural Effusion: A Randomized, Double-Blind, Clinical Trial

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Corticoids for Pleural Effusion and Empyema (CORTEEC) Study

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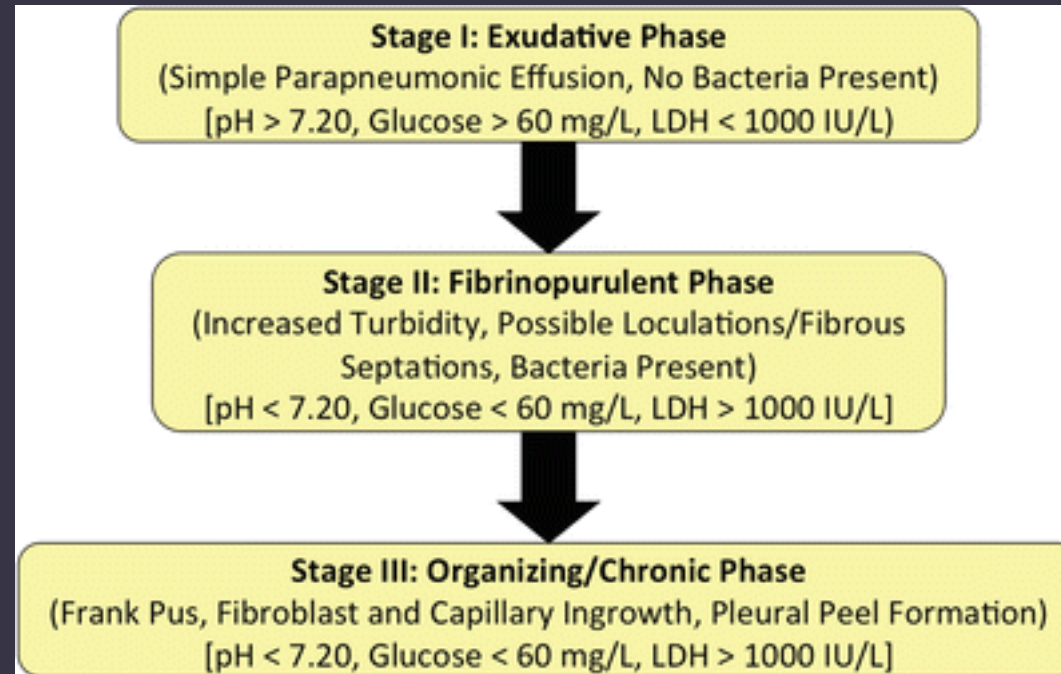
# Outline

- Background
- Hypothesis
- The study:
  - Methods
  - Results
  - Author's conclusion
- Critical appraisal
- Discussion

# Background

- The incidence of parapneumonic effusions and empyema has been reported to be up to 10 per 100,000 children in the United States
- The most common cause of parapneumonic effusion and empyema is bacterial pneumonia
- The process of pleural fluid accumulation is mediated by increased vascular permeability secondary to mesothelial cell cytokines including IL-1, IL-6, IL-8, TNF- $\alpha$ , and platelet activating factor

- Exudative:
  - Accumulation of clear fluid with a low WBC count (simple effusion).
- Fibropurulent:
  - Deposition of fibrin leading to septation and the formation of loculations.
  - There is an increase in white cells, fluid thickening (complicated effusion)
  - Eventually becomes overt pus (empyema).
  -
- Organisational:
  - Fibroblasts infiltrate the pleural cavity, and the thin intrapleural membranes are reorganised to become thick and non-elastic.



# Hypothesis

- Corticosteroids block inflammatory cytokines that are key factors in the first, exudative stage of pleural effusion.
- Some trials have suggested that corticosteroids shorten the time to clinical stability when added to antibiotic treatment in immunocompetent adults with CAP
- Hypothesized that the concomitant treatment of antimicrobials and early administration of dexamethasone (DXM) would be beneficial in parapneumonic pleural effusion

# Methods

- Multicenter, double-blind, parallel-group, placebo-controlled clinical trial
- Conducted in Spain at 9 urban university-affiliated public hospitals over a period of 55 months



# PICO

- Inclusion criteria:

- Hospitalized children, 1 month to 14 years with CAP and pleural effusion.
- CAP: fever  $> 38^{\circ}\text{C}$ , cough, and parenchymal infiltrate on chest radiography

- Exclusion criteria:

- Proven allergies to any study drugs
- Immunodeficiency
- Any concomitant disease likely to worsen with corticosteroid treatment
- Any condition that prevented participation in the study

- Randomization was stratified by center and severity of disease

**Table I. Criteria for severity stratification<sup>3</sup>**

Criteria	Complicated effusion	Simple effusion
pH of pleural fluid	<7.2	≥7.2
Echogenic features	Loculations or septations	Free-flowing fluid with no septations or loculations
Gram	Presence of bacteria	No bacteria

Fulfillment of only 1 criterion for complicated effusion was sufficient to place a patient in the complicated effusion stratum. Patients with <10 mm of free fluid on the ultrasound who did not undergo thoracentesis were classified as having simple effusion.

# PICO

- Intervention group:
  - 8 IV doses of DXM, 0.25 mg/kg every 6 hours (2 mg/kg accumulated dose)
- Control group:
  - Identical volume of 0.9 NS
- Both groups:
  - DXM or placebo was administered immediately after the first dose of cefotaxime (within 12 hours of diagnosis)
  - Ranitidine (5 mg/kg/day intravenously in 2 doses over 48 hours)
  - Cefotaxime was continued until 48 hours after the patient was afebrile, then switched to amoxicillin-clavulanate to complete the 15-days

- Drainage:

- Patients with simple effusion received only medical treatment.
- Diagnostic thoracentesis was recommended if effusion was  $> 10$  mm on US.
- If biochemical data indicating complicated effusion were found, appropriate drainage was recommended.
- The recommended management for complicated effusion was medical treatment plus pleural drainage and fibrinolytics, or VATS.
- A conservative approach without drainage was permitted at the discretion of the clinician

- Primary outcome:
  - time to recovery, measured in hours

**Table III. Recovery criteria**

**Recovery criteria**

Temperature  $<37^{\circ}\text{C}^*$   
 Ambient  $\text{SaO}_2 >92\%^*$   
 No respiratory distress (no tachypnea<sup>†</sup>, no retractions)  
 End of invasive procedures (pleural drainage, VATS)  
 Pneumonia in resolution<sup>‡</sup>  
 Oral feeding

\*The last recovery criterion fulfilled was  $<37^{\circ}\text{C}$  temperature in 52 patients (86%) and ambient  $\text{SaO}_2 >92\%$  in 4 patients (6%).

<sup>†</sup>Tachypnea according to age was defined by World Health Organization standards.

<sup>‡</sup>Defined by a smaller lung infiltrate compared with the infiltrate in the first X-ray.

# PICO

- Secondary outcomes:
  - Safety:
    - complications of disease from the moment of hospitalization until day 30 post d/c
    - adverse events attributable to corticosteroids during hospitalization
  - Progression to complicated effusion requiring chest drainage
  - CRP level
  - Decreased effusion during days 1-3.

**Table IV. Prespecified complications of disease**

**Complications**

All-cause mortality  
Pneumothorax  
Necrotizing pneumonia  
Children with initial simple effusion who eventually underwent pleural drainage or surgery

**Table V. Prespecified adverse events attributable to steroids**

**Adverse events**

Hyperglycemia >126 mg/dL\*  
Mild (126-140 mg/dL)  
Moderate (140-200 mg/dL)  
Severe (>200 mg/dL)  
Need of insulin  
Upper gastrointestinal bleeding  
Anemia (decreased hemoglobin)  
Mild ( $\Delta$ Hb <1 g/L from day 1 to day 3)  
Moderate ( $\Delta$ Hb 1-3 g/L from day 1 to day 3)  
Severe ( $\Delta$ Hb >3 g/L from day 1 to day 3)  
Transfusion  
Oropharyngeal candidiasis  
Allergic reaction, rash  
Other

Glycemia was checked once a day on days 1, 2, and 3 of treatment.

\*One measurement >126 mg/dL was sufficient to label the event as adverse event.

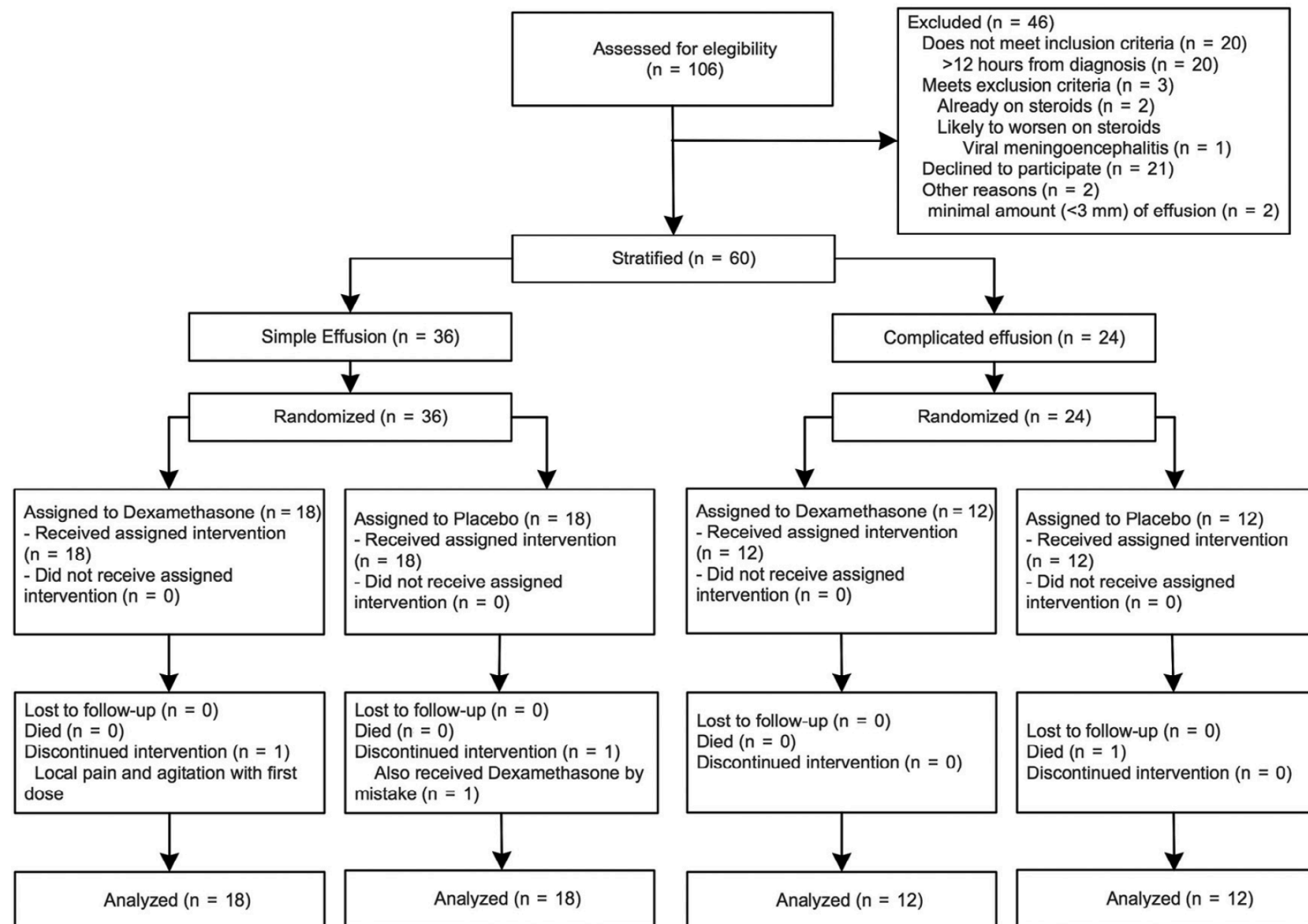
- Statistical considerations:

- A sample size of 56 patients (28 patients per group) were needed to detect a reduction in time to recovery of  $\geq 24$  hours (assuming an SD of 31 hours, 80% power, a 2-sided  $\alpha$  level of 5%, and a 10% dropout rate).
- The SD was obtained from a small observational pilot study, where we observed an SD of 31 hours in time to recovery in children treated with steroids who had a pleural effusion





# Results



**Figure 1.** Enrollment, randomization, and follow-up in the trial.

**Table II. Patient recruitment according to centers and years**

<b>Centers</b>	<b>2011</b>	<b>2012</b>	<b>2013</b>	<b>2014</b>	<b>2015</b>	<b>Total</b>
University Hospital Infanta Sofía	8	0	1	4	1	14
University Hospital Ramón y Cajal	3	4	0	5	0	12
Hospital Universitario La Paz	5	1	2	1	0	9
University Hospital Gregorio Marañón	2	1	0	0	1	4
University Hospital Toledo	3	0	1	1	0	5
University Hospital Getafe	4	0	0	0	0	4
University Hospital Príncipe de Asturias	1	2	1	0	1	5
University Hospital Carlos Haya	4	0	0	0	0	4
University Hospital 12 de Octubre	0	0	0	3	0	3
<b>Total</b>	<b>30</b>	<b>8</b>	<b>5</b>	<b>14</b>	<b>3</b>	<b>60</b>

**Table VI.** Baseline patient characteristics, according to treatment group

Variables	DXM (n = 30)	Placebo (n = 30)
Age, y, mean ± SD	4.6 ± 4.2	4.8 ± 5.5
Sex, n (%)		
Males	13 (43)	12 (40)
Females	17 (56)	18 (60)
Underlying disease, n (%)	13 (43)	11 (36)
Asthma	4 (13)	5 (16)
Overweight	3 (10)	5 (16)
Neurologic disease	1 (3)	1 (3)
Celiac disease	1 (1)	0 (0)
Atopy	3 (10)	1 (3)
Previous antibiotics, n (%)	9 (30)	9 (30)
Oral antibiotics	4 (13)	3 (10)
Intravenous antibiotics	8 (26)	7 (23)
Daycare or school, n (%)	29 (96)	24 (80)
≥3 doses of PCV7, n (%)	14 (46)	19 (63)
≥3 doses of PCV13, n (%)	4 (13)	3 (10)
Influenza immunization, n (%)	1 (3)	3 (10)
<i>H influenzae</i> type B immunization, n (%)	29 (96)	29 (96)
Duration of symptoms before randomization, d, mean ±SD	3.7 ± 2.5	4.0 ± 2.5
Temperature, °C, median (IQR)	39 (1)	39 (1.4)
SaO <sub>2</sub> <92%, n (%)	2 (6.6)	2 (6.6)
Systolic arterial pressure, mm Hg, mean ± SD	107 ± 12	107 ± 12
Diastolic arterial pressure, mm Hg, mean ± SD	59 ± 10	66 ± 7
Effusion amount, n (%)		
<1 cm	18 (60)	16 (53)
>1 cm to 1/3 of hemithorax	9 (30)	12 (40)
>1/3 of hemithorax	3 (10)	2 (6)
Distance from chest wall, cm, median (IQR)	0.8 (0.8)	1.2 (1.5)
Thoracentesis at entry, n (%)	9 (30)	14 (46)
VATS, n (%)		
At entry (<24 h)	2 (6)	0 (0)
Delayed (>24 h)	2 (6)	1 (3)
Chest tube, n (%)	9 (30)	12 (40)
Confirmed etiology*, n (%)		
Typical bacteria identified†	8 (26)	14 (46)
<i>S pneumoniae</i>	5 (16)	11 (36)
<i>S pyogenes</i>	3 (10)	1 (3)
<i>S aureus</i>	0 (0)	1 (3)
Anaerobic, gram-negative bacilli	0 (0)	1 (3)
Unknown viral, other‡	22 (74)	16 (54)
<i>M pneumoniae</i>	4 (13)	1 (3)
<i>C pneumoniae</i>	1 (3)	0 (0)
Tuberculosis	0 (0)	1 (3)
Viral (influenza, adenovirus, metapneumovirus)	3 (10)	1 (3)
Not established	17 (56)	13 (43)

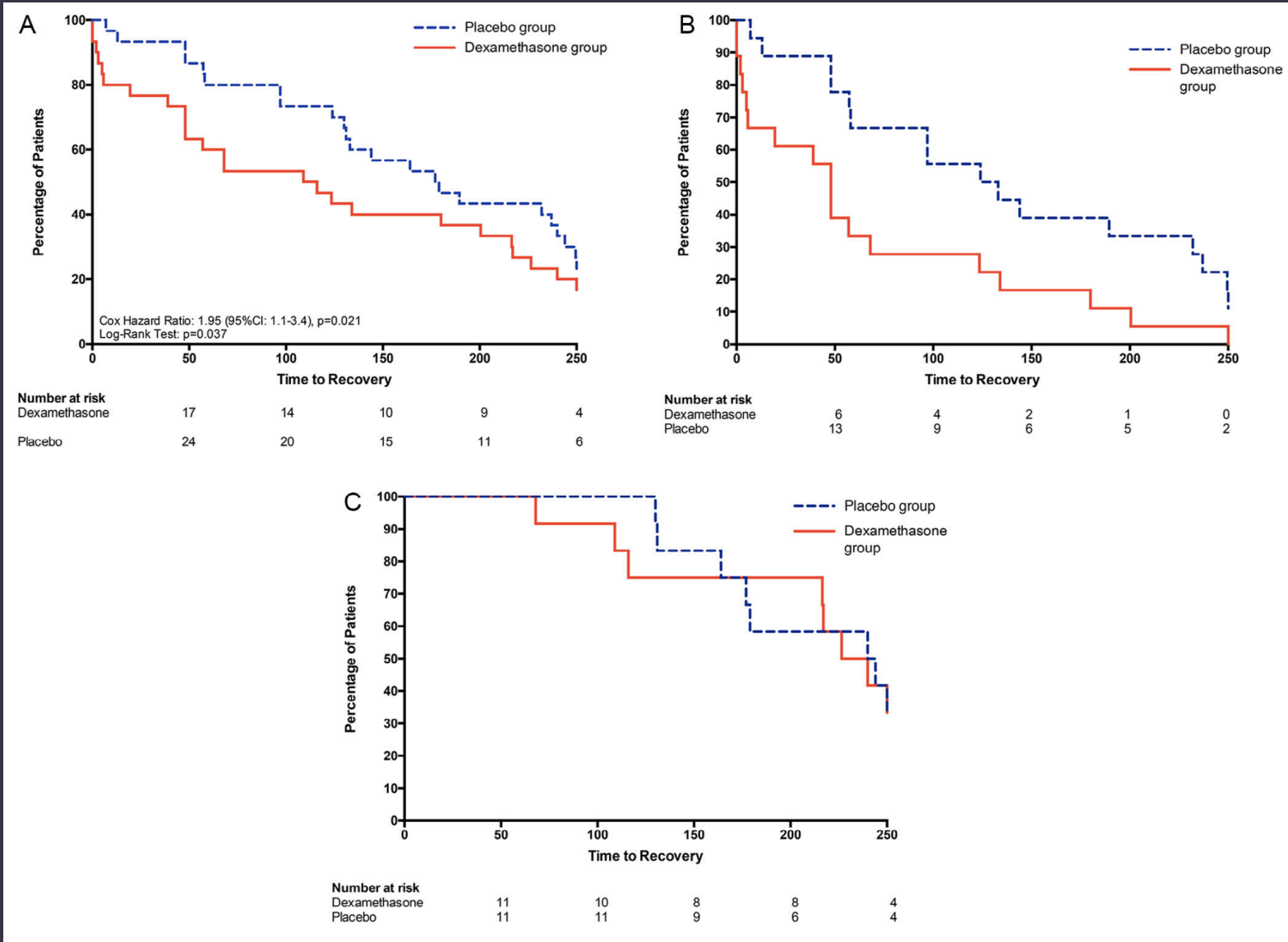
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Delayed (>24 h)	2 (6)	1 (3)
Chest tube, n (%)	9 (30)	12 (40)

**Table VII. Time to recovery (primary endpoint)**

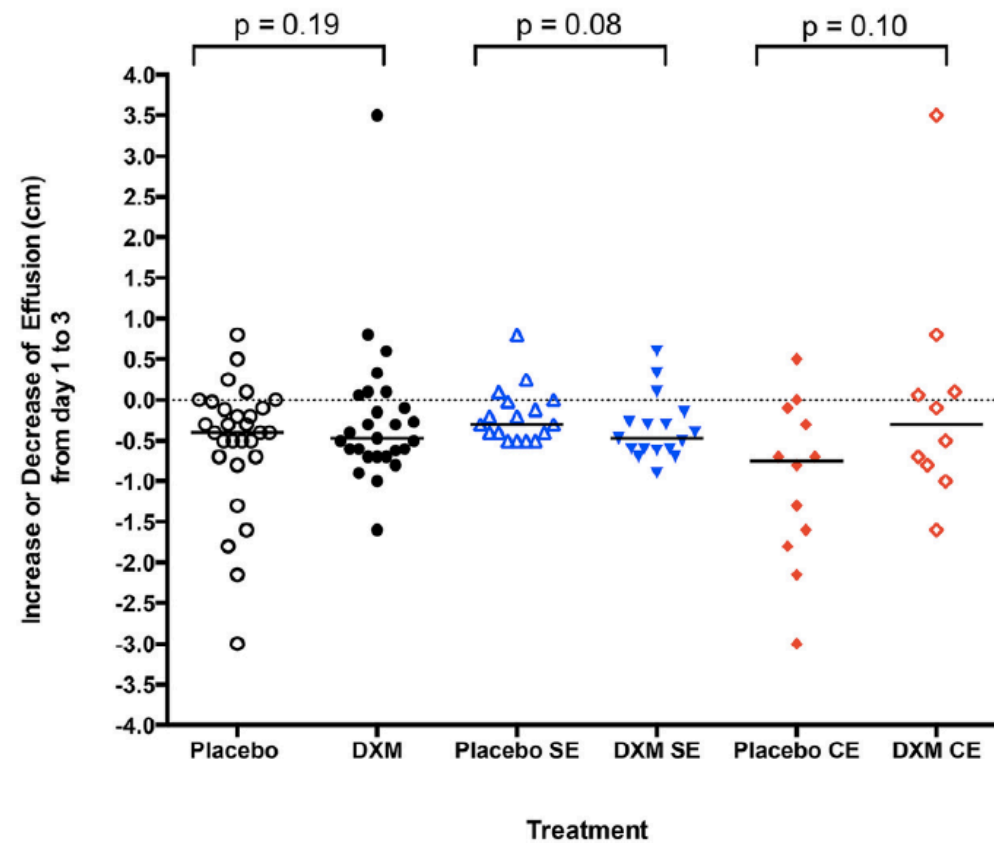
Characteristics	Placebo	DXM	<i>P</i> value
Total			
No. of patients	30	30	
Duration, h, median (95% CI)	177 (115-238)	109 (37-180)	.037*
HR (95% CI)	1	1.95 (1.10-3.45)	.021†
Simple effusion			
No. of patients	18	18	
Duration, h, median (95% CI)	124 (49-198)	48 (35-60)	.017
Complicated effusion			
No. of patients	12	12	
Duration, h, median (95% CI)	240 (129-350)	226 (187-265)	.66

\*Log-rank test.

†Cox HR.



**Figure 2.** Kaplan-Meier analysis of the effect of DXM on time to recovery. **A**, All enrolled patients. The patient who died was censored on the day of death (608 hours). **B**, Patients with simple pleural effusion. **C**, Patients with complicated pleural effusion.



**Figure 4.** Difference of pleural effusion from day 1 to day 3 according to treatment group—placebo and dexamethasone (DXM)—and stratified according to severity group—simple effusion (SE) and complicated effusion (CE).



**Table X.** Complications and adverse events attributable to study treatment, according to severity and type (per-protocol analysis)

Complications and adverse events	DXM (n = 30), n (%)	Placebo (n = 30), n (%)	Risk ratio (95% CI)	P value
All participants				
Any complication	3 (10)	4 (13)	0.7 (0.1-3.0)	.68
All-cause mortality	0 (0)	1 (3)	N/A	N/A
Pulmonary complications	3 (10)	4 (13)	0.7 (0.1-3.0)	.68
Pneumothorax	2 (6)	1 (3)	2 (0.1-24)	.56
Necrotizing pneumonia	2 (6)	3 (10)	0.6 (0.1-4.5)	.64
Any adverse event attributable to the study drug	22 (73)	19 (63)	1.5 (0.5-4.7)	.40
Hyperglycemia	15 (50)	6 (20)	2.5 (1.2-5.5)	.02
Mild (126-140 mg/dL)	6 (20)	4 (13)	1.6 (0.4-6.4)	.49
Moderate (140-200 mg/dL)	7 (23)	3 (10)	2.7 (0.6-11.8)	.17
Severe (>200 mg/dL)	2 (6)	0 (0)	N/A	N/A
Need for insulin	1 (3)	0 (0)	N/A	N/A
Upper gastrointestinal bleeding	0 (0)	0 (0)	N/A	N/A
Anemia	10 (34)	16 (55)	0.4 (0.1-1.2)	.12
Mild ( $\Delta$ Hb <1 g/L from day 1 to day 3)	6 (20)	7 (24)	0.8 (0.3-2.8)	.75
Moderate ( $\Delta$ Hb 1-3 g/L from day 1 to day 3)	3 (10)	3 (10)	1 (0.1-5.4)	1
Severe ( $\Delta$ Hb >3 g/L from day 1 to day 3)	1 (3)	6 (20)	0.1 (0.02-1.3)	.08
Transfusion	1 (3)	3 (10)	0.3 (0.03-3.1)	.32
Oropharyngeal candidiasis	0 (0)	0 (0)	N/A	N/A
Allergic reaction, rash	0 (0)	1 (3)	N/A	N/A
Other				
Local pain, agitation	1 (3)	0 (0)	N/A	N/A
Simple effusion				
Children with simple effusion who eventually underwent pleural drainage	1/18 (5)	3/18 (16)	0.2 (0.02-3.1)	.31

# Author's conclusion

- This trial, DXM appeared to be a safe and effective adjunctive therapy for decreasing the time to recovery in children with parapneumonic pleural infection.
- This trial provides a basis for a larger and definitive trial that should be powered to confirm the findings and determine whether DXM performs equally or differently across the severity groups.
- Future trials should demonstrate the effect of DXM on the long-term complications of parapneumonic pleural effusion.

# Critical appraisal

- Study Question
- Population identification
- Selection bias
- Were the groups similar at the start of the trial?

- Aside from the allocated treatment, were groups treated equally?
- Were all patients who entered the trial accounted for?
- Were the patients and clinicians kept “blind” to which treatment was being received?

- Results:
  - How precise was the estimate of the treatment effect?
    - Primary outcome definition
  - How large was the treatment effect?
    - A mean of 3 days seem to be a significant duration clinically but the CI is up to 7.7 days (mean for placebo is 7 days)

- Will the results help me in caring for my patient?
  - First RCT, small number
  - The effect is mostly seen in simple rather than complicated effusion
  - Only 1/3 of patients had a chest tube inserted at presentation
  - Time to hospital discharge not reported
  - Is n of 60 enough to study acute side effects ?
  - Long term side effects not studied

### Should Children with Parapneumonic Effusions Receive Steroids?

Dr Bud Wiederman, MD, MA, Evidence eMended Editor, Grand Rounds

- The short answer is no
- What does recovery mean? Steroid is a strong antipyretic -> afebrile children are less tachypneic, better appetite.
- Is this study really double-blinded ? It would be difficult for an experienced clinician not to suspect a child was randomized to the steroid group
- In the meningitis study “I was able to correctly guess which of my patients were receiving dexamethasone, based on fever patterns”
- 5 years and 9 centers to enroll 60 patients is a long time to continue a complex multicenter study, it invites errors in enrollment and protocol violations since no single study is enrolling patients very often
- Is this a mixed bag of patients? A large number of these patients likely had mild viral pneumonia with simple pleural effusion

Questions ?

Comments ?